


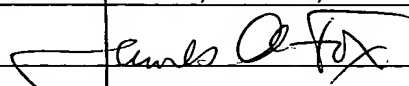
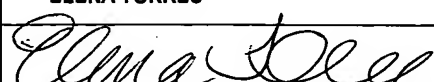
Please type a plus sign (+) inside this box ☐

11-16-05 J004 Rec'd PCT/PTO 15 NOV 2005

PTO/SB/21 (6-99)

Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

 <h1 style="margin: 0;">TRANSMITTAL FORM</h1> <p style="margin: 0;">(to be used for all correspondence after initial filing)</p>		Application Number		10/533,796			
		Filing Date		May 4, 2005			
		First Named Inventor		Yoshitaka Miyakawa			
		Group/Art Unit		1645			
		Examiner Name		Not Yet Assigned			
Total Number of Pages in This Submission		4		Attorney Docket Number		38331-0006	
<b>ENCLOSURES (check all that apply)</b>							
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> AMENDMENT / RESPONSE <input type="checkbox"/> After Final <input type="checkbox"/> Version With Markings Showing Changes <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> INFORMATION DISCLOSURE STATEMENT WITH FORM PTO-1449 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 <input type="checkbox"/> Copy of Notice			<input type="checkbox"/> DECLARATION <input type="checkbox"/> DECLARATION ON INCORPORATION BY REFERENCE <input type="checkbox"/> AMENDMENT UNDER 37 CFR §1.48(b) <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, by Assignee to Exclusion of Inventor Under 37 C.F.R. §3.71 With Revocation of Prior Powers <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund			<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> <b>ADDITIONAL ENCLOSURE(S) (PLEASE IDENTIFY BELOW):</b> <input checked="" type="checkbox"/> <b>REQUEST FOR CORRECTED FILING RECEIPT; COPY OF RED-LINED FILING RECEIPT AND STAMPED RETURN POSTCARD</b>	
Remarks			AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER, REFERENCING ATTORNEY'S DOCKET NO. 39766-0048 CP1C1.				
<b>SIGNATURE OF APPLICANT, ATTORNEY OR AGENT</b>							
Firm or Individual name		HELLER EHRMAN WHITE LLP			JAMES A. FOX (Reg. No. 38,455)		
		275 Middlefield Road, Menlo Park, California 94025			Telephone: (650) 324-7000		Facsimile: (650) 324-0638
Signature							
Date		November 14, 2005		Customer Number:		25213	
<b>CERTIFICATE OF EXPRESS MAILING</b>							
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: MAIL STOP:, Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450, on this date: <b>November 14, 2005</b>							
Express Mail Label <b>EV 582 622 935 US</b>							
Typed or printed name		ELENA TORRES					
Signature					Date		November 14, 2005

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Inventor: Yoshitaka Miyakawa	)	Group Art Unit: 1645
Serial Number: 10/533,796	)	Examiner: Not Yet Assigned
Filing Date: May 4, 2005	)	
Title ANIMAL MODEL OF HUMAN HEMATOPOIETIC TUMOR		

### REQUEST FOR CORRECTED FILING RECEIPT

There are errors with respect to the following, which are omitted, or misspelled:

***Correct data***  
***Animal Model of Human Hematopoietic Tumor***

Issuance of a corrected Filing Receipt is respectfully requested.

By: James A. Fox  
James A. Fox, Reg. No. 38,455

SV 2167276 v1  
11/11/05 10:32 AM (38331.0006)

**RECEIVED**Page 1 of 3 JH  
38331-0006. USAUG 2 9 2005  
UNITED STATES PATENT AND TRADEMARK OFFICEUNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/533,796	05/04/2005	1645	1100	38331-0006	11	9	4

CONFIRMATION NO. 6927

25213  
HELLER EHRMAN LLP  
275 MIDDLEFIELD ROAD  
MENLO PARK, CA 94025-3506

## FILING RECEIPT



\*OC000000016822144\*

Date Mailed: 08/23/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Yoshitaka Miyakawa, Shinjuku, JAPAN;  
Masahiro Kizaki, Shinjuku, JAPAN;  
Yasuo Ikeda, Shinjuku, JAPAN;  
Masato Nakamura, Setagaya, JAPAN;  
Yasuyuki Ohnishi, Yokohama, JAPAN;Power of Attorney: The patent practitioners associated with Customer Number 25213.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/14149 11/06/2003

## Foreign Applications

JAPAN 2002-322995 11/06/2002

Projected Publication Date: 11/24/2005

Non-Publication Request: No

Early Publication Request: No

DOCKETED

Title

~~Model animal of human hematopoietic organ tumor~~

ANIMAL MODEL

Preliminary Class

530

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

### LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR